

Gp 1632

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Typed or Printed Name Barbara M. Weatherly

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Date

April 19, 2001

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Attorney Docket

06510 170CON2

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First Named Inventor

Carson et al.

APR 26 2001

Application Number

09/265,191

Filing Date

March 10, 1999

TECH CENTER 1600/2900

Group Art Unit

1632

Examiner Name

Q. Nguyen

Title: *Immune Stimulatory Compositions and Methods for Use of same to Enhance the Immune Response of a Host to an Antigen*

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Amendment/Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement, 1449 and 3 references☐ Substitute Specification Clean and Marked-up☐ Response to Missing Parts/ Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53 (with copy of Notice to File Missing Parts)☐ Issue Fee Transmittal (with copy of PTOL-85B)☐ Formal Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip and Accompanying Petition☐ To Convert a Provisional Application☐ Power of Attorney☐ Revocation☐ Associate☐ Change of Correspondence Address☐ Terminal Disclaimer☐ Small Entity Statement☐ Request for Refund☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences Certification Regarding Sequence listing☐ Sequence Listing☐ Computer readable disk☒ Additional Enclosure(s) (please identify below):  
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name

Paula A. Borden

Registration No.

42,344

Firm Name

BOZICEVIC, FIELD &amp; FRANCIS LLP

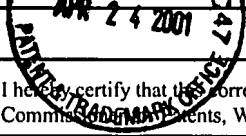
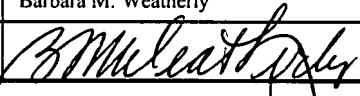
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Typed or Printed Name		Barbara M. Weatherly	
Signature			
		Date	April 19, 2001
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>  Address to: Commissioner for Patents Washington, D.C. 20231		Attorney Docket	06510 170CON2
		First Named Inventor	Carson et al.
		Application Number	09/265,191
		Filing Date	March 10, 1999
		Group Art Unit	1632
		Examiner Name	Q. Nguyen
		Title	<i>Immune Stimulatory Compositions and Methods for Use of same to Enhance the Immune Response of a Host to an Antigen</i>

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4-27-01

P.2

Sir:

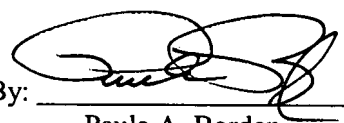
This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-1449 listing the references and copies of the cited references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815 may be charged therefor.

Respectfully submitted,  
 BOZICEVIC, FIELD & FRANCIS LLP

Date: April 19, 2001

By:   
 Paula A. Borden  
 Registration No. 42,344

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Docket No.  
6510170CON2

In Re Application Of:  
Carson et al.

JUN 25 2001



424  
6-26-01  
P.2

Serial No.  
09/265,191

Filing Date  
March 10, 1999

Examiner  
Q. Nguyen

Group Art Unit  
1632

Title: Immune Stimulatory Compositions and Methods for Use of Same to Enhance the Immune Response of a Host to an Antigen.

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Docket No.  
6510170CON2

Under 37 CFR 1.97(b) or 1.97(c)

In Re Application Of:  
Carson et al.

JUN 25 2001

Serial No.  
09/265,191Filing Date  
March 10, 1999Examiner  
Q. NguyenGroup Art Unit  
1632

Title: Immune Stimulatory Compositions and Methods for Use of Same to Enhance the Immune Response of a Host to an Antigen.

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## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0815 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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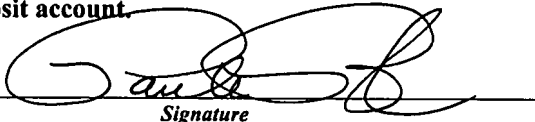


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Barbara M. Weatherly

Typed or Printed Name of Person Mailing Correspondence

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Dated: June 21, 2001

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